

**TRANSMISSION OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
3818.02-5

In Re Application Of: **ALAN BRUCE MONTGOMERY**

Serial No. **APR 26 2004** Filing Date
10/613,639 **JULY 3, 2003**

Examiner
N/A

Group Art Unit
1616

**Title: INHALABLE AZTREONAM LYSINATE FORMULATION FOR TREATMENT AND PREVENTION
OF PULMONARY BACTERIAL INFECTIONS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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OF PULMONARY BACTERIAL INFECTIONS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **16-1331** as described below.
- Charge the amount of _____
- Credit any overpayment.
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I certify that this document and fee is being deposited on **APRIL 21, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melinda A. Tompkins

Signature of Person Mailing Correspondence

MELINDA A. TOMPKINS

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Hana Verry

Signature

Dated: **APRIL 21, 2004**

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FOR PTO-1449 (Modified)

O I P E
P A T E N T & T R A D E M A R K O F F I C E
A P R 2 6 2 0 0 4

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT.**

SUPPLEMENTAL

(Use several sheets if necessary)

ATTY. DOCKET NO.

3818.02-5

SERIAL NO.
10/613,639

APPLICANT : ALAN BRUCE MONTGOMERY

FILING DATE : JULY 3, 2003

GROUP 1616

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AAA	4	7	7	5	6	7	0		Sykes, et al.	514	210	1/19/81	
	BBB	4	9	4	6	8	3	8	8/7/90	Floyd, et al.	514	210	7/28/86	
	CCC	0	0	0	9	1	2	6	1/15/04	Pilkiewicz	424	46	3/5/03	
	DDD	4	5	7	2	8	0	1	2/25/86	Matsuo, et al.	260	245.4	5/31/83	
	EEE	4	6	1	0	8	2	4	9/9/86	Trüner	540	355	10/9/84	
	FFF	4	6	7	3	7	3	9	6/16/87	Matsuo, et al.	540	355	9/18/85	
		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	MM	0	2	9	7	5	8	0		Europe	417	12	X	YES NO

OTHER**ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER**DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PVJS CASE: 3818.01-1 (HV)